



Conscious Capital®

Conscious Giving, Inc.  
405 Lexington Avenue  
Floor 26  
New York, NY 10174

Robert C. Smith  
Founder & CEO

January 27, 2023

## **1. Statement of continued support by the Chief Executive Officer**

To our stakeholders:

On behalf of Conscious Giving, Inc., a Pennsylvania public benefit corporation that is also a foreign corporation in New York, I am pleased to confirm that Conscious Giving, Inc. reaffirms its support of the Ten Principles of the United Nations Global Compact (“UNGC”) with respect to human rights and environment protection.

In this our second annual Communication on Progress, we describe our actions to integrate the UNGC and the Ten Principles into our business strategy, culture, and daily operations. We are also committed to share this information with our stakeholders using our primary channels of communication.

Sincerely,

A handwritten signature in black ink that reads "Robert Smith".

Robert C. Smith





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## **2. Conscious Giving, Inc. is a Pennsylvania public benefit corporation and foreign corporation in New York, which has been granted 501c3 status by the Internal Revenue Service, a department of the U.S. Treasury**

Conscious Giving, Inc. (“Conscious Giving”) is expeditiously funding solutions to the world’s most pressing problems.

Since its founding, Conscious Giving has donated nearly \$16 million, through its Catalytic Philanthropy division comprised of nine (9) special interest funds, to nonprofit organizations globally that are committed to improving lives, saving lives, and regenerating the planet.

Employing an asset management-like model to philanthropy, Conscious Giving conducts extensive due diligence on nonprofit organizations and academic research for potential integration into its special interest funds.

Conscious Giving also offers innovative financing solutions to impact-oriented philanthropists, who expect transparency, reporting and legacy planning support with the confidence that their giving is creating maximum impact.

## **3. Our Approach to the Ten Principles of the UN Global Compact**

In May 2017, Conscious Capital, Inc., a legally independent entity of Conscious Giving, Inc. which serves as a holding company for investments, joined the United Nations Global Compact (“UNGC”). In March 2020, Conscious Giving, Inc. replaced Conscious Capital, Inc. as a participant of the UNGC and committed to support the Ten Principles of the UNGC.

At this time, Conscious Giving could define four major stakeholders, which could guide the direction of our CSR policy, where we wanted to make a difference within the natural context of our business, including:

1. People (employees and independent contractors)
2. Investment community (globally)
3. Businesses (globally)
4. Society (globally)



Conscious Giving decided to implement its initiatives in two stages. The first stage entailed implementing initiatives within the Secretariat to ensure that all employees and independent contractors associated with Conscious Giving understood the Ten Principles of the UNGC.

The second stage was introducing Conscious Giving's support of the Ten Principles of the UNGC and its commitment to achieving the UN Sustainable Development Goals through its company website, [www.consciouscapital.us](http://www.consciouscapital.us), social medial channels members and direct electronic communication with Conscious Giving's community of investors and business leaders.

Conscious Giving's CSR committee is employee borne and responsible for choosing and implementing the internal initiatives of Conscious Giving.

#### **4. Conscious Giving's CSR Policy**

Conscious Giving's primary CSR initiatives concern human rights, the environment, peace, and security.

All nonprofit organizations and research that receive funding by Conscious Giving must first demonstrate their achievement of impact (in particular) in relation to the UN Sustainable Development Goals, prior to receiving support from Conscious Giving.

Conscious Giving's CSR committee regularly informs its staff as to which initiatives have been approved or not approved for financial support by Conscious Giving.

#### **5. Future Initiatives**

In 2023, Conscious Giving's focus will be directed towards members of its community of institutional investment professionals, wealthy families, and CEOs of private and public companies.

Conscious Giving is planning to:



1. Start a campaign to encourage businesses to register within the UN Global Compact framework.
2. Set the agenda for diversity and encourage equal opportunities at the board and management levels of businesses, as well as in recruitment and promotion; and, furthermore, make diversity a focus of Conscious Giving's selection process when it comes to which businesses we fund.
3. Inspire and engage our employees, board members and advisors to participate in the development of our CSR policy and initiatives.